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C. Wills

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C., UNITED STATES OF AMERICA

In re Application of:

Applicant(s): **HORWARTH, et al.**

Serial No.: **09/496,249**

Filing Date: **02 February 2000**

Entitled: **APPARATUS AND METHOD FOR  
MONITORING ALIGNMENT OF A  
CNC MACHINE SPINDLE  
TRUNNION AXIS A**

Examiner: **WEST, J.**

Our File Ref.: **CIN-0002-US**

Group Art Unit: **2857**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
SUBMITTED PURSUANT TO 37 C.F.R. 1.97(b)(3)**

Honorable Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Dear Commissioner:

Now come Applicants, by counsel, and respectfully submit the following Supplemental Information Disclosure Statement pursuant to 37 C.F.R. 1.97(b)(3). Applicants have not yet received an Office Action on the merits relating to the above-referenced application; therefor, neither a statement under 37 C.F.R. 1.97(e) nor a fee under 37 C.F.R. 1.17(p) is due.

Enclosed herewith please find Form PTO/SB/08A, Information Disclosure Statement by Applicant, and a copy of the single reference cited therein. The reference submitted herewith and listed on the attached Form was cited in Applicants' application, but was unintentionally missing from Applicants' Information Disclosure Statement dated 02 February 2000.

Applicants also submit herewith a REPLACEMENT COPY of a Service Manual that was

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cited in Applicants' Information Disclosure Statement filed on 02 February 2000, a copy of which was provided therewith. The enclosed REPLACEMENT COPY is being provided at the request of the Examiner.

Should the Examiner have any questions relating to Applicants' submission of the within Supplemental Information Disclosure Statement or the copies enclosed herewith, Applicants respectfully request that the Examiner contact Applicants' undersigned counsel, who can be reached at the telephone numbers listed below.

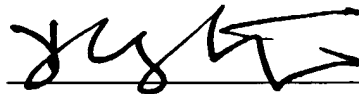
**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

The undersigned hereby certifies that a true and accurate copy of the within "Supplemental Information Disclosure Statement Submitted Pursuant to 37 C.F.R. 1.97(b)(3)" is being deposited with the U.S. Postal Service in an envelope addressed to the Honorable Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231, for first-class mail delivery, postage pre-paid, on this the 28 day of February, 2002.

Respectfully submitted,

WILLIAM A. HORWARTH, Applicant  
WALTER S. HOWARD, Applicant

Date: 28 Feb 2002



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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 1 of 1

### Complete if Known

Application Number	09/496,249
Filing Date	02 February 2000
First Named Inventor	HORWARTH
Art Unit	2857
Examiner Name	WEST, J.
Attorney Docket Number	CIN-0002-US

### U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,220,510	06-15-1993		
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### FOREIGN PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.